

EXHIBIT A

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SUPERIOR COURT OF THE STATE OF CALIFORNIA
 COUNTY OF SAN FRANCISCO

TERRI RANDALL, an individual,

Plaintiff

v.

ORTHO-MCNEIL PHARMACEUTICAL,
 INC., a Delaware Corporation; MCKESSON
 CORP and DOES 1-500, inclusive,

Defendants

Case No. **CGC-07-463332**

COMPLAINT FOR DAMAGES BASED ON:

1. NEGLIGENCE
2. STRICT PRODUCT LIABILITY - FAILURE TO WARN
3. BREACH OF EXPRESS WARRANTY
4. BREACH OF IMPLIED WARRANTY
5. NEGLIGENT MISREPRESENTATION
6. FRAUD
7. WRONGFUL DEATH

DEMAND FOR JURY TRIAL

COMPLAINT FOR DAMAGES

ENDORSED
 FILED
 San Francisco County Superior Court

MAY 11 2007

GORDON PARK-LI, Clerk
 BY: JUN P. PANELO
 Deputy Clerk

CASE MANAGEMENT CONFERENCE SET

OCT 12 2007 - 9⁰⁰AM

DEPARTMENT 212

INTRODUCTION

1
2 1. Plaintiff TERRI RANDALL is the mother of Decedent India C. Aziz, and the sole
3 heir thereto. Therefore, Plaintiff TERRI RANDALL is the proper party to maintain this action
4 pursuant to California Code of Civil Procedure §377.60.

5 2. Plaintiff is the heir of an individual who consumed Defendant ORTHO-MCNEIL
6 PHARMACEUTICAL INC.'s drug Ortho Evra® (hereinafter referred to as "Ortho Evra"). The
7 Decedent herein has suffered death as a result of the ingestion of Defendant's product.

8 3. Defendant ORTHO-MCNEIL PHARMACEUTICAL INC (hereinafter "ORTHO-
9 MCNEIL") designed, researched, manufactured, advertised, promoted, marketed sold and/or
10 distributed Ortho Evra. Furthermore, Defendant ORTHO-MCNEIL concealed its knowledge of
11 Ortho Evra's risks and trivialized the serious side effects of Ortho Evra from Plaintiff, Plaintiff's
12 physicians, pharmacists and the public in general.

13 4. Defendant MCKESSON CORP ("hereinafter "MCKESSON") is a corporation
14 whose principle place of business is San Francisco, California. MCKESSON distributed and sold
15 Ortho Evra in and throughout the State of California.

16 5. Ortho Evra is an adhesive transdermal birth control patch that delivers continuous
17 levels of the hormones progestin and estrogen through the skin and into the blood stream to
18 prevent pregnancy. Ortho Evra was approved by the FDA in November 2001 and since has been
19 used by over 4 million women. On November 10, 2005 the FDA issued a warning about the
20 increased risks of blood clots associated with the use of Ortho Evra. Specifically, users of Ortho
21 Evra are exposed to 60% more total estrogen in their blood than users of the typical birth control
22 pill which contains 35 micrograms of estrogen.

23 **JURISDICTION AND VENUE**

24 6. The California Superior Court has jurisdiction over this action pursuant to
25 California Constitution Article VI, Section 10, which grants the Superior Court "original
26 jurisdiction in all causes except those given by statute to other trial courts." The Statutes under
27 which this action is brought do not specify any other basis for jurisdiction.

28 7. The California Superior Court has jurisdiction over the Defendants because,

1 based on information and belief, each is a corporation and/or entity and/or person organized
2 under the laws of the State of California, a foreign corporation or association authorized to do
3 business in California and registered with the California Secretary of State or has sufficient
4 minimum contacts in California, is a citizen of California, or otherwise intentionally avails itself
5 of the California market so as to render the exercise of jurisdiction over it by the California
6 courts consistent with traditional notions of fair play and substantial justice.

7 8. Venue is proper in this Court pursuant to California Code of Civil Procedure
8 Section 395 in that Defendant MCKESSON has its principle place of business in San Francisco.

9 9. Furthermore Defendants ORTHO-MCNEIL and MCKESSON have purposefully
10 availed themselves of the benefits and the protections of the laws within the State of California.
11 Defendant MCKESSON has its principle place of business within the state. Defendants ORTHO-
12 MCNEIL and MCKESSON have had sufficient contact such that the exercise of jurisdiction
13 would be consistent with the traditional notions of fair play and substantial justice.

14 10. Plaintiffs each individually seek relief that is within the jurisdictional limits of the
15 court.

16 PARTIES

17 PLAINTIFFS

18 11. Plaintiff TERRI RANDALL is the mother of Decedent India C. Aziz, and is a
19 resident of Baltimore, Maryland. Decedent, India C. Aziz consumed ORTHO-MCNEIL'S Ortho
20 Evra Patch and suffered death as a result of ingestion.

21 DEFENDANTS

22 12. Defendant ORTHO-MCNEIL is, and at all times material to this action was, a
23 corporation organized, existing and doing business under and by the virtue of the laws of the
24 State of Delaware, with its principle office located at 1000 Route 202 South, P.O. Box 300,
25 Raritan, New Jersey 08869.

26 13. Defendant ORTHO-MCNEIL is, and at all times material to this action was,
27 authorized to do business, and was engaged in business in the State of California. ORTHO-
28 MCNEIL derives substantial revenue from goods consumed within the State of California.

1 14. Defendant ORTHO-MCNEIL includes any and all parents, subsidiaries, affiliates,
2 divisions, franchises, partners, joint venturers and organizational units of any kind, their
3 predecessors, successors and assigns and their present officers, directors, employees, agents,
4 representatives and other persons acting on their behalf.

5 15. Plaintiffs are informed and believe, and based thereon allege, that in committing
6 the acts alleged herein, each and every managing agent, agent, representative and/or employee of
7 the defendant was working within the course and scope of said agency, representation and/or
8 employment with the knowledge, consent, ratification, and authorization of the Defendant and its
9 directors, officers and/or managing agents.

10 16. At all times material to this action, Defendant ORTHO-MCNEIL developed,
11 manufactured, marketed, promoted, sold and/or distributed Ortho Evra in the stream of
12 commerce and in the State of California and the rest of the country.

13 17. Defendant MCKESSON is, and at all times material to this action was, a
14 corporation organized, existing and doing business under and by virtue of the laws of the State of
15 Delaware, with its principle place of business in San Francisco, California. MCKESSON is, and
16 at all times material to this action was, authorized to do business, and was engaged in substantial
17 commerce and business under the laws of the State of California.

18 18. Defendant MCKESSON includes any and all parents, subsidiaries, affiliates,
19 divisions, franchises, partners, joint venturers and organizational units of any kind, their
20 predecessors, successors and assigns and their present officers, directors, employees, agents,
21 representatives and other persons acting on their behalf.

22 19. Plaintiffs are informed and believe, and based thereon allege, that in committing
23 the acts alleged herein, each and every managing agent, agent, representative and/or employee of
24 Defendant MCKESSON was working within the course and scope of said agency, representation
25 and/or employment with the knowledge, consent, ratification and authorization of the defendant
26 and its directors, officers and/or managing agents.

27 20. At all times relevant to this action, Defendant MCKESSON packaged, distributed,
28 supplied, sold, placed into the stream of commerce, labeled, described, marketed, advertised,

1 promoted and purported to warn or to inform users regarding the risks pertaining to, and
2 assuaged concerns about the pharmaceutical Ortho Evra.

3 21. The true names and capacities, whether individual, corporate, associate, or
4 otherwise, of Defendants named herein as DOES 1 through 500, and each of them, are unknown
5 to Plaintiffs, who therefore, sues said Defendants by such fictitious names.

6 22. Plaintiff will ask leave to amend this Complaint to state said Defendants' true
7 identities and capacities when the same has been ascertained.

8 23. Plaintiff is informed and believes and based thereupon alleges that each of the
9 Defendants designated herein as DOE took part in and participated with the Defendant in all
10 matters referred to herein and was in some manner responsible for the death suffered by the
11 Plaintiff.

12 24. Plaintiff is informed and believes and based thereupon alleges that at all times
13 herein mentioned each of the Defendants was the agent, servant and/or employee or occupied
14 other relationships with each of the other named Defendants and at all times herein mentioned
15 acted within the course and scope of said agency and/or employment and/or other relationship
16 and each other Defendant has ratified, consented to, and approved the acts of his agents,
17 employees, and representatives, and that each actively participated in, aided and abetted, or
18 assisted one another in the commission of the wrongdoing alleged in this Complaint.

19 **GENERAL ALLEGATIONS APPLICABLE**
20 **TO ALL CAUSES OF ACTION**

21 25. ORTHO-MCNEIL is the world's leading manufacturer of prescription
22 contraceptives as well as the current market leader in oral and patch contraceptive products.
23 ORTHO-MCNEIL offers a range of prescription birth control options to women, including Ortho
24 Evra, the first transdermal contraceptive patch, ten birth control pills and two diaphragms.

25 26. The pharmaceutical drug at issue in this litigation is "Ortho Evra". Ortho Evra is
26 the first and only once a week birth control patch. It is worn on the skin for one week and
27 replaced on the same day of the week for three consecutive weeks, with the fourth week free
28 from the patch. Unlike traditional oral contraceptives, such as the birth control pill, that are

1 ingested and metabolized by the body's digestive system, the Ortho Evra patch continuously
2 releases estrogen and progestin *directly into* the bloodstream.

3 27. ORTHO-MCNEIL filed a new drug application for Ortho Evra on or about
4 December 21, 2000. In the same year, doctors at the FDA reviewing the clinical trials of the
5 Ortho Evra patch warned that blood clots could be a problem if the patch were approved. This
6 was after two of the women developed deep vein thrombosis (a blood clot that forms in the deep
7 veins of leg or pelvic region) which led to pulmonary embolism (a serious and deadly condition
8 of deep vein thrombosis where the clot breaks off into the lung and clogs an artery). One medical
9 reviewer wrote that it would be important to study users after Ortho Evra came into the market
10 for clot problems.

11 28. Despite those concerns, Ortho Evra received FDA approval for the prevention of
12 pregnancy in November of 2001. Since then, Ortho Evra has been prescribed to more than 4
13 million women and has become the most popular and fastest growing birth control method in the
14 United States.

15 29. Since its approval there have been many reports that indicate the serious risks
16 associated with the consumption of Ortho Evra. In particular, the FDA has logged 9,116 reports
17 of adverse reactions to the patch in a 17 month period. This is significantly higher than 1,237
18 adverse reports generated in a 6 year period for ORTHO-MCNEIL's oral contraceptive, Ortho
19 Tri-Cyclen. According to the FDA, this only represents 1% - 10% of patch related medical
20 problems so these adverse reactions are actually more prevalent.

21 30. Furthermore, reports provided by the FDA indicate that the risk of developing
22 and/or dying from a blood clot while using the Ortho Evra patch is at least three times higher
23 than when using birth control pills.

24 31. On November 10, 2005, the FDA required that the warning label for Ortho Evra
25 be updated to include a new warning indicating that use of Ortho Evra exposes women to a
26 higher level of estrogen than use of other birth control methods. Specifically, the new bolded
27 warning stated that women who use Ortho Evra are exposed to about 60% more total estrogen in
28 their blood than if they were taking a typical birth control pill containing 35 micrograms of

1 estrogen. Increased levels of estrogen exposes women to a greater risk of serious side effects,
2 particularly blood clots in the legs and lungs, heart attacks and strokes.

3 32. Ortho Evra was, and still continues to be, aggressively marketed as an easy to use,
4 safe, and effective alternative to oral contraceptives. Its main allure is in its convenience since
5 Ortho Evra only needs to be applied once a week, unlike oral contraceptive that need to be taken
6 daily to be effective.

7 33. Defendant ORTHO-MCNEIL failed to appropriately warn Plaintiff and
8 prescribing physicians of the serious risks of strokes, pulmonary emboli, blood clots, deep vein
9 thrombosis, and heart attacks, as well as other severe permanent health problems.

10 34. Despite the higher levels of estrogen that are known to be released by Ortho Evra
11 and the blood clot warnings, the package insert states that "there is limited epidemiological data
12 available to determine whether safety with the transdermal route of administration is different
13 than the oral route". The package insert goes on to say that "the information contained in this
14 package insert is principally based on studies carried out in women who used combination oral
15 contraceptives..."

16 35. Defendant ORTHO-MCNEIL knew, or should have known, about the above
17 mentioned risks based upon the state of knowledge of ORTHO-MCNEIL as it existed at that
18 time. Additionally, ORTHO-MCNEIL failed to properly or adequately investigate the safety
19 concerns of Ortho Evra.

20 36. Defendant ORTHO-MCNEIL's conduct fell below the duty of care that was
21 owed by Defendants to Plaintiff.

22 37. Defendant ORTHO-MCNEIL misrepresented the known risks associated with
23 the use of Ortho Evra. ORTHO-MCNEIL also made claims with regards to the safe and
24 efficacious nature of their product in the prevention of pregnancy.

25 38. Defendant ORTHO-MCNEIL negligently and recklessly failed to inform the
26 public, prescribing healthcare professionals and the FDA of the risks of strokes, pulmonary
27 emboli, blood clots, deep vein thrombosis, and heart attacks, as well as other severe permanent
28 health problems associated with use of their product, Ortho Evra.

1 39. Defendant ORTHO-MCNEIL was careless and negligent in their manufacturing,
2 testing, selling, distributing, merchandising, advertising, promoting, packaging, and marketing of
3 Ortho Evra.

4 40. By reason of the foregoing, Plaintiffs have suffered from strokes, pulmonary
5 emboli, blood clots, deep vein thrombosis, and heart attacks, as well as other severe permanent
6 health problems.

7 **FRAUDULENT CONCEALMENT**

8 41. Any applicable statute of limitations have been tolled by the knowing and active
9 concealment and denial of facts as alleged herein by the Defendants. Plaintiff has been kept in
10 ignorance of vital information essential to the pursuit of these claims, without any fault or lack of
11 diligence on her part. Plaintiff could not have reasonably discovered the dangerous nature and
12 unreasonable adverse side effects associated with Ortho Evra. As a result, Plaintiff did not
13 discover the facts giving rise to these claims until less than one year before the filing of this
14 Complaint.

15 42. Defendants are and were under a continuing duty to disclose the true character,
16 quality and nature of the patch to Plaintiff. Because of their concealment of the true character,
17 quality and nature of the contraceptive, Defendants are estopped from relying on any statute of
18 limitations defense.

19 **FIRST CAUSE OF ACTION**

20 *Negligence*

20 (Against Defendants ORTHO-MCNEIL and MCKESSON)

21 43. Plaintiff incorporates by reference the allegations in all preceding paragraphs of
22 this Complaint as though fully set forth in this paragraph.

23 44. Defendants had a duty to exercise reasonable care in the manufacture, sale,
24 research, development, inspection, labeling, promoting, marketing, and/or distribution of Ortho
25 Evra into the stream of commerce, including a duty to assure that this patch did not cause users
26 to suffer from unreasonable, dangerous side effects.

27 45. Defendants ORTHO-MCNEIL and MCKESSON failed to exercise ordinary care
28 in the manufacture, sale, testing, quality assurance, quality control, marketing and/or distribution

1 of Ortho Evra into interstate commerce, in that Defendants knew or should have known that
2 using Ortho Evra created a high risk of unreasonable dangerous side effects, including but not
3 limited to the risk of strokes, pulmonary emboli, blood clots, deep vein thrombosis, and heart
4 attacks, as well as other severe permanent health problems.

5 46. Defendants ORTHO-MCNEIL and MCKESSON breached their duty to Plaintiff
6 and were negligent in the licensing, testing, design, manufacture, packaging, warning,
7 advertising, promotion, distribution, and sale of Ortho Evra in that Defendants:

- 8 A. Failed to use ordinary care in designing and manufacturing the Ortho Evra
9 so as to avoid the aforementioned risks to Plaintiff;
- 10 B. Failed to accompany Ortho Evra with proper warnings regarding the
11 possible adverse side effects associated with the use of the patch and the
12 comparative severity and duration of such adverse effects, i.e., the
13 warnings given did not accurately reflect the symptoms, scope or severity
14 of the side effects;
- 15 C. Failed to conduct adequate pre-clinical testing and post-marketing
16 surveillance to determine the safety and side effects of Ortho Evra;
- 17 D. Failed to provide adequate training to medical care providers for
18 appropriate use of Ortho Evra;
- 19 E. Failed to warn Plaintiff, either directly or indirectly, orally or in writing,
20 about the following:
 - 21 (i) The need for comprehensive, regular monitoring to ensure early
22 discovery of potentially serious side effects like blood clots, deep
23 vein thrombosis and pulmonary emboli;
 - 24 (ii) The possibility of becoming injured, disabled or dying as a result
25 of using Ortho Evra.
- 26 F. Failed to adequately test and/or warn about the serious side effects of
27 Ortho Evra;
- 28 G. Failed to include adequate warnings with Ortho Evra that would alert

1 Plaintiff, physicians, hospitals, and clinics, to the potential risks and the
2 nature, scope, severity, and duration of any serious side effects of Ortho
3 Evra;

4 H. Continued to promote the efficacy and safety of Ortho Evra while
5 providing little or no warnings, and downplaying any risks, even after
6 Defendants knew of the risks of serious injury and/or death;

7 I. Delayed warnings of, and then failed to provide adequate warnings about
8 the serious injuries, which may have dissuaded medical providers from
9 prescribing Ortho Evra and deprived women of information so that they
10 can weigh the true risks against the benefits of prescribing Ortho Evra;
11 and

12 J. Were otherwise careless or negligent.

13 47. Despite the fact that Defendants knew or should have known that Ortho Evra
14 caused unreasonably dangerous side effects, Defendants continued and are currently continuing
15 to market, manufacture, distribute and/or sell Ortho Evra to consumers, including Plaintiff and
16 her doctors.

17 48. Defendants knew or should have known that consumers, such as Plaintiff, would
18 suffer injury as a result of Defendants' failure to exercise ordinary care as described above.

19 49. Plaintiff is entitled to punitive damages because the Defendants' failure to warn
20 was reckless and without regard for the public's safety and welfare. The Defendants misled both
21 the medical community and the public at large, including Plaintiff, by making false
22 representations about the safety of Ortho Evra. The Defendants downplayed, understated, and
23 disregarded their knowledge of the serious side effects associated with the use of Ortho Evra
24 despite available information demonstrating that their products were likely to cause serious and
25 potentially fatal side effects to users like Plaintiff.

26 50. As a direct, proximate and legal result of the negligence, carelessness, other
27 wrongdoing and actions of the Defendants described herein, Plaintiff was, and/or still is, caused
28 to suffer severe injuries including diminished enjoyment of life, strokes, pulmonary emboli,

1 blood clots, deep vein thrombosis, and heart attacks, as well as other severe permanent health
2 problems.

3 51. Based upon information and belief, Defendants actually knew of Ortho Evra's
4 defective nature, as set forth herein, but continued, and still continue, to design, manufacture,
5 market and sell the patch so as to maximize sales and profits at the expense of the health and
6 safety of the public, including Plaintiff, in conscious disregard of the foreseeable harm caused by
7 the patch.

8 52. Defendants' conduct in the license, design, manufacturing, assembly, packaging,
9 warning, marketing, advertising, promotion, distribution and sale of Ortho Evra constituted
10 malice, oppression and fraud, including, but not limited to:

- 11 A. Aggressively marketing and promoting Ortho Evra, knowing the high
12 risks posed by failing to conduct sufficient pre-clinical and clinical testing
13 and adequate post-marketing surveillance;
- 14 B. Failing to include adequate warnings with Ortho Evra that would alert
15 consumers, physicians, hospitals, clinics, and other users to the potential
16 risks and the nature, scope, severity, and duration of any serious side
17 effects of the patch, particularly, strokes, pulmonary emboli, blood clots,
18 deep vein thrombosis, and heart attacks, as well as other severe permanent
19 health problems;
- 20 C. Continuing to promote the efficacy and safety of the patch, while
21 providing little or no warnings, and downplaying any risks, even after
22 Defendants knew of the increased risks associated with use of Ortho Evra
23 as opposed to oral contraceptives;
- 24 D. Delaying warnings of the dangerous side effects which may have
25 dissuaded medical providers from prescribing Ortho Evra so freely, and
26 depriving women of information so that they could weigh the true risks
27 against the benefits of using the patch, was fraudulent, knowing
28 misconduct, and/or conduct undertaken recklessly and with conscious

1 disregard for the safety of consumers such as the Plaintiffs, such as to
2 constitute despicable conduct, and oppression, fraud and malice, and such
3 conduct was at all times relevant ratified by the corporate Defendants
4 herein, thereby entitling Plaintiffs punitive damages in an amount
5 appropriate to punish and set an example of Defendant.

6 53. As a result of ORTHO-MCNEIL and MCKESSON's conduct, Plaintiff suffered
7 injuries and damages herein.

8 WHEREFORE, Plaintiff prays for judgment against Defendants, and each of them, as set
9 forth herein below.

10 **SECOND CAUSE OF ACTION**
11 ***Strict Product Liability - Failure to Warn***
(Against Defendants ORTHO-MCNEIL and MCKESSON)

12 54. Plaintiff incorporates by reference the allegations in all proceeding paragraphs of
13 this Complaint as though fully set forth in this paragraph.

14 55. Defendants ORTHO-MCNEIL and MCKESSON are the manufacturer and/or
15 supplier of Ortho Evra.

16 56. Ortho Evra manufactured and/or supplied by Defendants ORTHO-MCNEIL and
17 MCKESSON was unaccompanied by proper warnings regarding all possible side effects
18 associated with their use and the comparative severity, incidence, and duration of such adverse
19 effects, i.e., the warnings given did not accurately reflect the signs, symptoms, incidence, scope
20 or severity of the side effects.

21 57. Defendants failed to perform adequate testing that would have shown that Ortho
22 Evra possessed serious potential side effects with respect to which full and proper warnings
23 accurately and fully reflecting symptoms, scope and severity should have been made, both with
24 respect to the use of the patch.

25 58. Ortho Evra manufactured and/or supplied by Defendants was defective due to
26 inadequate post-marketing surveillance and/or warnings or instructions because, after the
27 manufacturer knew or should have known of the risks of injury from Ortho Evra, they failed to
28 provide adequate warnings to users or consumers of the patch and continued, and still continue,

1 to aggressively promote Ortho Evra.

2 59. Ortho Evra manufactured and/or supplied by Defendants was defective because
3 Defendants were aware that the amount of estrogen that is released from the patch is much
4 higher than the levels associated with oral contraceptives.

5 60. As a direct, proximate and legal result of the negligence, carelessness, other
6 wrongdoing and actions of Defendants described herein, Plaintiff has been injured as described
7 above.

8 61. Based upon information and belief, Defendants actually knew of the defective
9 nature of Ortho Evra, as set forth herein, but continued, and still continue, to design
10 manufacture, market and sell Ortho Evra so as to maximize sales and profits at the expense of
11 the health and safety of the public including Plaintiff, in conscious disregard of the foreseeable
12 harm caused by Ortho Evra.

13 62. Plaintiff could not, by reasonable exercise of care, have discovered the defects
14 and dangers of Ortho Evra.

15 63. Defendants conduct in the license, design, manufacturing, assembly, packaging,
16 warning, marketing, advertising, promotion, distribution, and sale of Ortho Evra constituted
17 malice, oppression and fraud, including, but not limited to:

- 18 A. Aggressively marketing and promoting Ortho Evra, knowing the high
19 risks posed by failing to conduct sufficient pre-clinical and clinical testing
20 and adequate post-marketing surveillance;
- 21 B. Failing to provide complete literature with regards to Ortho Evra, and
22 indicating the need for monitoring while on the patch;
- 23 C. Failing to include adequate warnings with Ortho Evra that would alert
24 consumers, physicians, hospitals, clinics and other users to the potential
25 risks and the nature, scope, severity, and duration of any serious side
26 effects of the drug, particularly the risk of strokes, pulmonary emboli,
27 blood clots, deep vein thrombosis, and heart attacks, as well as other
28 severe permanent health problems;

- 1 D. Continuing to promote the efficacy and safety of the drug, while providing
 2 little or no warnings, and downplaying any risks, even after Defendants
 3 knew of the increased risks associated with Ortho Evra use;
- 4 E. Delaying warnings about the dangerous side effects which may have
 5 dissuaded medical providers from prescribing Ortho Evra so freely, and
 6 depriving women of information so that they could weigh the true risks
 7 against the benefits of using the patch, was fraudulent, knowing
 8 misconduct, and/or conduct undertaken recklessly and with conscious
 9 disregard for the safety of consumers such as the Plaintiff, such as to
 10 constitute despicable conduct, fraud and malice, and such conduct was at
 11 all times relevant ratified by corporate Defendants herein, thereby entitling
 12 Plaintiff to punitive damages in an amount appropriate to punish and set
 13 an example of Defendant.

14 64. Defendants' actions, as described above, were performed willfully, intentionally,
 15 and with reckless disregard for the rights of Plaintiff and the public.

16 65. As a result of Defendants' conduct, Plaintiff has sustained injuries described
 17 above.

18 66. Accordingly, Plaintiff seek and are entitled to compensatory and punitive
 19 damages in an amount to be determined at trial.

20 WHEREFORE, Plaintiff pray for judgment against Defendants, and each of them, as set
 21 forth herein below.

22 **THIRD CAUSE OF ACTION**

Breach of Express Warranty

23 (Against Defendants ORTHO-MCNEIL and MCKESSON)

24 67. Plaintiff incorporates by reference the allegations in all preceding paragraphs of
 25 this Complaint as though fully set forth in this paragraph.

26 68. Defendants, ORTHO-MCNEIL and MCKESSON, through description,
 27 affirmation of fact, and promise relating to Ortho Evra, to the FDA, prescribing physicians, and
 28 the general public, including Plaintiff, expressly warranted that Ortho Evra was safe and well

1 accepted by users.

2 69. Defendants, ORTHO-MCNEIL and MCKESSON further expressly warranted
3 that Ortho Evra did not produce any side effects in excess of those risks associated with oral
4 contraceptives, that the side effects were reflected accurately in the warnings, and that it was
5 accurately tested and fit for its intended use.

6 70. Ortho Evra does not conform to these express representations because it is not
7 safe as its use produces serious adverse side effects including the risk of strokes, pulmonary
8 emboli, blood clots, deep vein thrombosis, and heart attacks, as well as other severe permanent
9 health problems.

10 71. As such, Defendants' product was neither in conformity to the promises,
11 descriptions or affirmations of fact made about the patch nor adequately contained, packaged,
12 labeled or fit for the ordinary purposes for which such goods are used.

13 72. Defendants knew or should have known that, in fact, said representations and
14 warranties were false and misleading in that Ortho Evra was not safe and/or fit for its intended
15 use, and in fact resulted in serious injuries to the user.

16 73. Plaintiff relied on the express warranties of the Defendants herein. Members of
17 the medical community, including physicians, and other healthcare professionals, relied upon the
18 representations and warranties of the Defendants for use of Ortho Evra in prescribing,
19 recommending, and/or dispensing the product.

20 74. Defendants thereafter breached their express warranties to Plaintiff by: (i)
21 manufacturing, marketing, packaging, labeling, and selling Ortho Evra to Plaintiffs in such a way
22 that misstated the risks of injury, without warning or disclosure thereof by package and label of
23 such risks to Plaintiff or their prescribing physicians or pharmacists, or without so modifying or
24 excluding such express warranties; (ii) manufacturing, marketing, packaging, labeling, and
25 selling Ortho Evra to Plaintiff, which failed to prevent pregnancy in a safe manner and without
26 injury; and (iii) manufacturing, marketing, packaging, labeling, and selling Ortho Evra to
27 Plaintiff, thereby causing injury to each.

28 75. As a direct and proximate result of Defendants' conduct the Plaintiff was caused

1 to suffer severe injuries and physical pain including diminished enjoyment of life, strokes,
2 pulmonary emboli, blood clots, deep vein thrombosis, and heart attacks, as well as other severe
3 permanent health problems.

4 76. Plaintiff is entitled to punitive damages because Defendants' failure to warn was
5 reckless and without regard to their welfare. Defendants misled both the medical community and
6 the public at large, including Plaintiff, by making false representations about the safety of their
7 product. Defendants downplayed, understated, and disregarded their knowledge of the serious
8 side effects associated with the use of Ortho Evra, despite available information demonstrating
9 that it was likely to cause serious and sometimes fatal side effects to users.

10 WHEREFORE, Plaintiff pray for judgment against Defendants, and each of them, as set
11 forth herein below.

12 **FOURTH CAUSE OF ACTION**

13 ***Breach of Implied Warranty***

14 (Against Defendants ORTHO-MCNEIL and MCKESSON)

15 77. Plaintiff incorporates by reference the allegations in all preceding paragraphs of
16 this Complaint as though fully set forth in this paragraph.

17 78. At the time Defendants ORTHO-MCNEIL and MCKESSON marketed, sold, and
18 distributed Ortho Evra, for use by Plaintiff, Defendants knew of the use for which Ortho Evra
19 was intended and impliedly warranted the patch to be of merchantable quality and safe and fit for
20 its intended use.

21 79. Defendants ORTHO-MCNEIL and MCKESSON impliedly represented and
22 warranted to Plaintiff, healthcare professionals and the FDA that the Ortho Evra it was
23 supplying was safe and fit for ordinary use.

24 80. Plaintiff and members of the medical community relied on Defendants warranties
25 that their product, Ortho Evra, was of merchantable quality and safe and fit for its intended use.

26 81. Contrary to such implied warranties, Ortho Evra was not of merchantable quality
27 or safe or fit for its intended use, because it was unreasonably dangerous and unfit for the
28 ordinary purposes for which it was used, as described above.

82. Defendant's conduct in the license, design, manufacturing, assembly, packaging,

1 warning, marketing, advertising, promotion, distribution, and sale of Ortho Evra constituted
2 malice, oppression and fraud, including but not limited to:

- 3 A. Marketing and promoting the product aggressively, knowing the high risks
4 posed by failing to conduct sufficient pre-clinical and clinical testing and
5 adequate post-market surveillance;
- 6 B. Failing to provide complete literature with regards to Ortho Evra and
7 indicating the need for monitoring while on the patch;
- 8 C. Failing to include adequate warnings with Ortho Evra that would alert
9 consumers, physicians, hospitals, clinics and other users of the potential
10 risks and the nature, scope, severity and duration of any serious side
11 effects of the patch, particularly, the risks of strokes, pulmonary emboli,
12 blood clots, deep vein thrombosis, and heart attacks, as well as other
13 severe permanent health problems;
- 14 D. Continuing to promote the efficacy and safety of Ortho Evra, while
15 providing little or no warnings, and downplaying any risks, even after the
16 Defendants knew of the increased risks associated with use of their
17 product;
- 18 E. Delaying warnings of, and then failing to provide adequate warnings about
19 the dangerous side effects which may have dissuaded medical providers
20 from prescribing Ortho Evra so freely, and depriving women of
21 information so that they could weigh the true risks against the benefits of
22 prescribing the product, was fraudulent, knowing misconduct, and/or
23 conduct undertaken recklessly and with conscious disregard for the safety
24 of consumers like Plaintiff, such as to constitute despicable conduct,
25 oppression, fraud and malice, and such conduct was at all times relevant
26 ratified by the corporate Defendants herein, thereby entitling Plaintiffs
27 punitive damages in an amount appropriate to punish and set an example
28 of the Defendants.

83. As a direct, proximate and legal result of Defendants' negligence, carelessness and other wrongdoing described herein, Plaintiff has sustained severe injuries as described above.

84. Based upon information and belief, Defendants actually knew of Ortho Evra's defective nature, as set forth herein, but continued to design, manufacture, market, and sell Ortho Evra to maximize sales and profits at the expense of the health and safety of the public, including Plaintiff in conscious disregard of the foreseeable harm caused by the patch.

WHEREFORE, Plaintiff prays for judgment against Defendants, and each of them, as set forth herein below.

FIFTH CAUSE OF ACTION

Negligent Misrepresentation

(Against Defendants ORTHO-MCNEIL and MCKESSON)

85. Plaintiff incorporates by reference the allegations in all preceding paragraphs of this Complaint as though fully set forth in this paragraph.

86. Defendants ORTHO-MCNEIL and MCKESSON, having undertaken to prepare, design, research, develop, manufacture, inspect, label, market, promote, and sell Ortho Evra, owed a duty to Plaintiff and the medical community to provide them accurate and complete information regarding this product.

87. The Defendants' advertising program, by containing affirmative misrepresentations and omissions, falsely and deceptively sought to create the image and impression that the use of Ortho Evra was safe, and had no unacceptable side effects.

88. On information and belief, Plaintiff avers that Defendants failed to disclose, misstated, downplayed, and understated the health hazards and risks associated with the use of Ortho Evra. Defendants deceived potential users and prescribers of the patch by relaying only allegedly positive information, while concealing, misstating and downplaying the known adverse and serious health effects.

89. Defendants knew or were aware or should have known or been aware that Ortho Evra had been insufficiently tested and that it lacked necessary warnings. Defendants were or should have been in possession of evidence demonstrating that their product created a high risk

1 of unreasonable, dangerous side effects, including but not limited to strokes, pulmonary emboli,
 2 blood clots, deep vein thrombosis, and heart attacks, as well as other severe permanent health
 3 problems. Nonetheless, Defendants continued to market Ortho Evra by providing false and
 4 misleading information with regard to its safety and efficacy.

5 90. Plaintiff and their doctors justifiably relied to their detriment upon Defendants'
 6 positive misrepresentations concerning Ortho Evra.

7 91. As a result of Defendants' conduct, Plaintiff has sustained injuries as described
 8 above. Accordingly, Plaintiff seeks and is entitled to compensatory and punitive damages in an
 9 amount to be determined at trial.

10 WHEREFORE, Plaintiff prays for judgment against Defendants, and each of them, as set
 11 forth herein below.

12 SIXTH CAUSE OF ACTION

Fraud

13 (Against Defendants ORTHO-MCNEIL and MCKESSON)

14 92. Plaintiff incorporated by reference the allegations in all preceding paragraphs of
 15 this Complaint as though fully set forth in this paragraph.

16 93. ORTHO-MCNEIL and MCKESSON, having undertaken to prepare, design,
 17 research, develop, manufacture, inspect, label, market, promote and sell Ortho Evra, owed and
 18 continue to owe a duty to provide accurate and complete information regarding their product.

19 94. Defendant deceptively sought to create the image and impression that the use of
 20 Ortho Evra was just as safe as the oral contraceptives already on the market, and had no
 21 unacceptable side effects. by intentionally distributing false information to Plaintiff, the general
 22 public, healthcare professionals and the FDA.

23 95. On information and belief, Plaintiff avers that the Defendants intentionally
 24 concealed, misstated, downplayed, suppressed, and ignored test results that were unfavorable to
 25 the Defendants as well as the results that revealed that Ortho Evra was not safe in the prevention
 26 of pregnancy. Defendants deceived potential users and prescribers of the patch by disseminating
 27 only allegedly positive information while concealing, misstating and downplaying the known
 28 adverse and serious health effects. Defendants falsely and deceptively kept relevant information

1 from potential Ortho Evra users and minimized safety concerns.

2 96. These representations were made with the purpose of deceiving and defrauding
3 the public, the FDA and the Plaintiff in order to gain their confidence and falsely ensure the
4 quality and fitness of Ortho Evra.

5 97. In representations made to Plaintiff, physicians and the public in general,
6 Defendants' fraudulently concealed and intentionally omitted information included, but not
7 limited to the following:

- 8 A. That Ortho Evra was not as safe as other forms of contraception;
- 9 B. That the amount of estrogen Ortho Evra users are exposed to is much
10 higher than the levels that oral contraceptive users are exposed to;
- 11 C. The risk of adverse effects is more likely with Ortho Evra use because of
12 the higher levels of estrogen that the user is exposed to;
- 13 D. That even after concerns about serious adverse effects were known, Ortho
14 Evra was not adequately tested.

15 98. Defendants were or should have been in possession of evidence demonstrating
16 that their product caused serious side effects. Nevertheless, they continued to market Ortho Evra
17 and represent falsely in their documents that Ortho Evra was safe and did not present any health
18 risks above those associate with the oral contraceptives on the market.

19 99. Defendants knew or should have known that the public, including the Plaintiff
20 would rely on the information that was being distributed.

21 100. Plaintiff did in fact rely on and believe Defendants' representations to be true and
22 relied upon the representations, and were induced to purchase and use Ortho Evra. Plaintiff did
23 not discover the true facts with respect to the dangerous and serious side effects or the false
24 representations that were made by Defendants, nor could the Plaintiff have discovered the true
25 facts with reasonable diligence.

26 101. Had the Plaintiff known of the true facts with respect to the dangerous and serious
27 health risks of Ortho Evra, Plaintiff would not have purchased or used Ortho Evra nor would
28 they have relied on Defendants' false representations.

102. Defendants concealment and omissions of material facts concerning the safety of Ortho Evra was made purposefully, wilfully, wantonly and/or recklessly, to mislead Plaintiff, and their physicians into continued use and/or dispensing of Ortho Evra.

103. Plaintiff is entitled to punitive damages because the failure of the Defendants to warn was reckless and without regard for the public's safety and welfare. Defendants misled both the medical community and the general public, including the Plaintiffs, through false representations about the safety of Ortho Evra.

104. The Defendants' actions, as described above, were performed willfully, intentionally, and with reckless disregard for the rights of Plaintiff and the public.

105. Accordingly, Plaintiff seek and are entitled to compensatory and punitive damages in an amount to be determined at trial.

WHEREFORE, Plaintiff prays for judgment against Defendants, and each of them, as set forth herein below.

SEVENTH CAUSE OF ACTION

Wrongful Death

(Against Defendants ORTHO-MCNEIL and MCKESSON)

106. Plaintiff incorporates by reference the allegations in all preceding paragraphs of this Complaint as though fully set forth in this paragraph.

107. As a direct and proximate result of Defendants' negligence, carelessness and/or recklessness, Decedents described above were injured in such a way as to cause death to each of them.

108. Plaintiff in their capacities as the heirs of Decedents assert all survival claims and rights under California law which survive the deaths of Decedents pursuant to California Code of Civil Procedure §377.30.

109. Furthermore, by reason of Decedent's death, Plaintiff has been permanently deprived of love, care, companionship, comfort, services, society, solace, affection, instruction, advice, training, guidance, protection, counsel, support, contributions, accumulations, inheritance and right of inheritance of Decedent, and have suffered grief and sorrow, all to their

1 damage in an amount within the jurisdiction of this court, and according to proof.

2
3 110. By reason of this incident:

- 4 a. Plaintiff has suffered physical injuries, including the medical bills
5 attendant thereto, and the corresponding pain and suffering, and mental
6 and emotional anguish and distress.
- 7 b. Plaintiff has incurred funeral and burial expenses according to proof.
- 8 c. Plaintiff has lost the use of and interest on the money owed to them from
9 the date of this incident to judgment as permitted by law on funeral and
10 burial expenses, on property damaged and destroyed, on the lost support,
11 and on the pecuniary value of the loss of love, care, companionship,
12 comfort, services and society.
- 13 d. Decedent lost her life.

14 WHEREFORE, Plaintiff prays judgment against Defendants, and each of them, as set
15 forth herein below.

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PRAYER FOR RELIEF

WHEREFORE, Plaintiff prays for judgment against Defendants, and each of them, as follows for:

1. Costs of suit incurred herein;
2. Special damages according to proof;
3. General damages according to proof;
4. Punitive or exemplary damages according to proof;
5. Prejudgment interest on these losses;
6. For such other and further relief as the Court deems just.

DATED: May 10, 2007

LAW OFFICES OF SHAWN KHORRAMI

By: 
SHAWN KHORRAMI, ESQ.
Attorney for Plaintiff

DEMAND FOR JURY TRIAL

Plaintiffs hereby demand a trial by jury in this action .

DATED: May 10, 2007

LAW OFFICES OF SHAWN KHORRAMI

By: 

SHAWN KHORRAMI, ESQ.
Attorney for Plaintiffs

CASE NUMBER: CGC-07-463332 TERRI RANDALL VS. ORTHO-MCNEIL PHARMACEUTICAL

NOTICE TO PLAINTIFF

A Case Management Conference is set for

DATE: OCT-12-2007

TIME: 9:00AM

**PLACE: Department 212
400 McAllister Street
San Francisco, CA 94102-3680**

All parties must appear and comply with Local Rule 3.

CRC 3.725 requires the filing and service of a case management statement form CM-110 no later than 15 days before the case management conference.

However, it would facilitate the issuance of a case management order **without an appearance** at the case management conference if the case management statement is filed, served and lodged in Department 212 twenty-five (25) days before the case management

Plaintiff must serve a copy of this notice upon each party to this action with the summons and complaint. Proof of service subsequently filed with this court shall so state.

ALTERNATIVE DISPUTE RESOLUTION POLICY REQUIREMENTS

IT IS THE POLICY OF THE SUPERIOR COURT THAT EVERY CIVIL CASE PARTICIPATE IN EITHER MEDIATION, JUDICIAL OR NON-JUDICIAL ARBITRATION, THE EARLY SETTLEMENT PROGRAM OR SOME SUITABLE FORM OF ALTERNATIVE DISPUTE RESOLUTION PRIOR TO A MANDATORY SETTLEMENT CONFERENCE OR TRIAL.
(SEE LOCAL RULE 4)

Plaintiff must serve a copy of the Alternative Dispute Resolution Information Package on each defendant along with the complaint. All counsel must discuss ADR with clients and opposing counsel and provide clients with a copy of the Alternative Dispute Resolution Information Package prior to filing the Case Management Statement.

[DEFENDANTS: Attending the Case Management Conference does not take the place of filing a written response to the complaint. You must file a written response with the court within the time limit required by law. See Summons.]

Superior Court Alternative Dispute Resolution Coordinator
400 McAllister Street, Room 103
San Francisco, CA 94102
(415) 551-3876

See Local Rules 3.6, 6.0 C and 10 D re stipulation to commissioners acting as temporary judges

Alternative Dispute Resolution (ADR) Information Package

Alternatives to Trial

**Here are some other ways to
resolve a civil dispute.**

The plaintiff must serve a copy of the ADR information package
on each defendant along with the complaint. (CRC 201.9(c))

**Superior Court of California
County of San Francisco**

Introduction

Did you know that most civil lawsuits settle without a trial?

And did you know that there are a number of ways to resolve civil disputes without having to sue somebody?

These alternatives to a lawsuit are known as alternative dispute resolutions (ADR). The most common forms of ADR are mediation, arbitration and case evaluation. There are a number of other kinds of ADR as well.

In ADR, trained, impartial persons decide disputes or help parties decide disputes themselves. These persons are called neutrals. For example, in mediation, the neutral is the mediator. Neutrals normally are chosen by the disputing parties or by the court. Neutrals can help parties resolve disputes without having to go to court.

ADR is not new. ADR is available in many communities through dispute resolution programs and private neutrals.

Advantages of ADR

ADR can have a number of advantages over a lawsuit.

- ***ADR can be speedier.*** A dispute often can be resolved in a matter of months, even weeks, through ADR, while a lawsuit can take years.
- ***ADR can save money.*** Court costs, attorneys fees, and expert fees can be saved.
- ***ADR can permit more participation.*** The parties may have more chances to tell their side of the story than in court and may have more control over the outcome.
- ***ADR can be flexible.*** The parties can choose the ADR process that is best for them. For example, in mediation the parties may decide how to resolve their dispute.
- ***ADR can be cooperative.*** This means that the parties having a dispute may work together with the neutral to resolve the dispute and agree to a remedy that makes sense to them, rather than work against each other.

- **ADR can reduce stress.** There are fewer, if any, court appearances. And because ADR can be speedier, and save money, and because the parties are normally cooperative, ADR is easier on the nerves. The parties don't have a lawsuit hanging over their heads for years.
- **ADR can be more satisfying.** For all the above reasons, many people have reported a high degree of satisfaction with ADR.

Because of these advantages, many parties choose ADR to resolve a dispute, instead of filing a lawsuit. Even when a lawsuit has been filed, the court can refer the dispute to a neutral before the parties' position harden and the lawsuit becomes costly. ADR has been used to resolve disputes even after a trial, when the result is appealed.

Disadvantages of ADR

ADR may not be suitable for every dispute.

- **If ADR is binding, the parties normally give up most court protections, including a decision by a judge or jury under formal rules of evidence and procedure, and review for legal error by an appellate court.**
- **There generally is less opportunity to find out about the other side's case with ADR than with litigation. ADR may not be effective if it takes place before the parties have sufficient information to resolve the dispute.**
- **The neutral may charge a fee for his or her services.**
- **If a dispute is not resolved through ADR, the parties may have to put time and money into both ADR and a lawsuit.**
- **Lawsuits must be brought within specified periods of time, known as statutes of limitation. Parties must be careful not to let a statute of limitations run out while a dispute is in an ADR process.**

ALTERNATIVE DISPUTE RESOLUTION PROGRAMS Of the San Francisco Superior Court

"It is the policy of the Superior Court that every noncriminal, nonjuvenile case participate either in an early settlement conference, mediation, arbitration, early neutral evaluation or some other alternative dispute resolution process prior to a mandatory settlement conference or trial."
(Superior Court Local Rule 4)

This guide is designed to assist attorneys, their clients and self-represented litigants in complying with San Francisco Superior Court's alternative dispute resolution ("ADR") policy. Attorneys are encouraged to share this guide with clients. By making informed choices about dispute resolution alternatives, attorneys, their clients and self-represented litigants may achieve a more satisfying resolution of civil disputes.

The San Francisco Superior Court currently offers three ADR programs for civil matters; each program is described below:

- 1) Judicial arbitration
- 2) Mediation
- 3) The Early Settlement Program (ESP) in conjunction with the San Francisco Bar Association.

JUDICIAL ARBITRATION

Description

In arbitration, a neutral "arbitrator" presides at a hearing where the parties present evidence through exhibits and testimony. The arbitrator applies the law to the facts of the case and makes an award based upon the merits of the case. When the Court orders a case to arbitration it is called judicial arbitration. The goal of arbitration is to provide parties with an adjudication that is earlier, faster, less formal, and usually less expensive than a trial. Upon stipulation of all parties, other civil matters may be submitted to judicial arbitration.

Although not currently a part of the Court's ADR program, civil disputes may also be resolved through private arbitration. Here, the parties

voluntarily consent to arbitration. If all parties agree, private arbitration may be binding and the parties give up the right to judicial review of the arbitrator's decision. In private arbitration, the parties select a private arbitrator and are responsible for paying the arbitrator's fees.

Operation

Pursuant to CCP 1141.11 and Local Rule 4, all civil actions in which the amount in controversy is \$50,000 or less, and no party seeks equitable relief, shall be ordered to arbitration. A case is ordered to arbitration after the Case Management Conference. An arbitrator is chosen from the Court's Arbitration Panel. Most cases ordered to arbitration are also ordered to a pre-arbitration settlement conference. Arbitrations are generally held between 7 and 9 months after a complaint has been filed. Judicial arbitration is not binding unless all parties agree to be bound by the arbitrator's decision. Any party may request a court trial within 30 days after the arbitrator's award has been filed.

Cost

There is no cost to the parties for judicial arbitration or for the pre-arbitration settlement conference.

MEDIATION

Description

Mediation is a voluntary, flexible, and confidential process in which a neutral third party "mediator" facilitates negotiations. The goal of mediation is to reach a mutually satisfactory agreement that resolves all or part of the dispute after exploring the significant interests, needs, and priorities of the parties in light of relevant evidence and the law.

Although there are different styles and approaches to mediation, most mediations begin with presentations of each side's view of the case. The mediator's role is to assist the parties in communicating with each other, expressing their interests, understanding the interests of opposing parties, recognizing areas of agreement and generating options for resolution. Through questions, the mediator aids each party in assessing the strengths and weaknesses of their position.

A mediator does not propose a judgment or provide an evaluation of the merits and value of the case. Many attorneys and litigants find that mediation's emphasis on cooperative dispute resolution produces more satisfactory and enduring resolutions. Mediation's non-adversarial approach is particularly effective in disputes in which the parties have a continuing relationship, where there are multiple parties, where equitable relief is sought, or where strong personal feelings exist.

Operation

San Francisco Superior Court Local Court Rule 4 **provides three different voluntary mediation programs** for civil disputes. An appropriate program is available for all civil cases, regardless of the type of action or type of relief sought.

To help litigants and attorneys identify qualified mediators, the Superior Court maintains a list of mediation providers whose training and experience have been reviewed and approved by the Court. The list of court approved mediation providers can be found at www.sfgov.org/courts. Litigants are not limited to mediators on the court list and may select any mediator agreed upon by all parties. A mediation provider need not be an attorney.

Local Rule 4.2 D allows for mediation in lieu of judicial arbitration, so long as the parties file a stipulation to mediate within 240 days from the date the complaint is filed. If settlement is not reached through mediation, a case proceeds to trial as scheduled.

Private Mediation

The Private Mediation program accommodates cases that wish to participate in private mediation to fulfill the court's alternative dispute resolution requirement. The parties select a mediator, panel of mediators or mediation program of their choice to conduct the mediation. The cost of mediation is borne by the parties equally unless the parties agree otherwise.

Parties in civil cases that have not been ordered to arbitration may consent to private mediation at any point before trial. Parties willing to submit a matter to private mediation should indicate this preference on the Stipulation to Alternative Dispute Resolution form or the Case Management Statement (CM-110). Both forms are attached to this packet.

Mediation Services of the Bar Association of San Francisco

The Mediation Services is a coordinated effort of the San Francisco Superior Court and The Bar Association of San Francisco (BASF) in which a court approved mediator provides three hours of mediation at no charge to the parties. It is designed to afford civil litigants the opportunity to engage in early mediation of a case shortly after filing the complaint, in an effort to resolve the matter before substantial funds are expended on the litigation process. Although the goal of the program is to provide the service at the outset of the litigation, the program may be utilized at anytime throughout the litigation process.

The mediators participating in the program have been pre-approved by the court pursuant to strict educational and experience requirements.

After the filing of the signed Stipulation to Alternative Dispute Resolution form included in this ADR package the parties will be contacted by BASF. Upon payment of the \$200 per party administration fee, parties select a specific mediator from the list of court approved mediation providers. The hourly mediator fee beyond the first three hours will vary depending on the mediator selected. Waiver of the administrative fee based on financial hardship is available.

A copy of the Mediation Services rules can be found on the BASF website at www.sfbar.org, or you may call BASF at 415-782-8913

Judicial Mediation

The Judicial Mediation program is designed to provide early mediation of complex cases by volunteer judges of the San Francisco Superior Court. Cases considered for the program include construction defect, employment discrimination, professional malpractice, insurance coverage, toxic torts and industrial accidents.

Parties interested in judicial mediation should file the Stipulation to Alternative Dispute Resolution form attached to this packet indicating a joint request for inclusion in the program. A preference for a specific judge may be indicated. The court Alternative Dispute Resolution Coordinator will coordinate assignment of cases that qualify for the program.

Cost

Generally, the cost of Private Mediation ranges from \$200 per hour to \$400 per hour and is shared equally by the parties. Many mediators are willing to adjust their fees depending upon the income and resources of the parties. Any party who meets certain eligibility requirements may ask the court to appoint a mediator to serve at no cost to the parties.

The Mediation Services of the Bar Association of San Francisco provides three hours of mediation time at no cost with a \$200 per party administrative fee.

There is no charge for participation in the Judicial Mediation program.

EARLY SETTLEMENT PROGRAM

Description

The Bar Association of San Francisco, in cooperation with the Court, offers an Early Settlement Program ("ESP") as part of the Court's settlement conference calendar. The goal of early settlement is to provide participants an opportunity to reach a mutually acceptable settlement that resolves all or part of the dispute. The two-member volunteer attorney panel reflects a balance between plaintiff and defense attorneys with at least 10 years of trial experience.

As in mediation, there is no set format for the settlement conference. A conference typically begins with a brief meeting with all parties and counsel, in which each is given an opportunity to make an initial statement. The panelists then assist the parties in understanding and candidly discussing the strengths and weaknesses of the case. The Early Settlement Conference is considered a "quasi-judicial" proceeding and, therefore, is not entitled to the statutory confidentiality protections afforded to mediation.

Operation

Civil cases enter the ESP either voluntarily or through assignment by the Court. Parties who wish to choose the early settlement process should indicate this preference on the status and setting conference statement.

If a matter is assigned to the ESP by the Court, parties may consult the ESP program materials accompanying the "Notice of the Early Settlement Conference" for information regarding removal from the program.

Participants are notified of their ESP conference date approximately 4 months prior to trial. The settlement conference is typically held 2 to 3 months prior to the trial date. The Bar Association's ESP Coordinator informs the participants of names of the panel members and location of the settlement conference approximately 2 weeks prior to the conference date.

Local Rule 4.3 sets out the requirements of the ESP. All parties to a case assigned to the ESP are required to submit a settlement conference statement prior to the conference. All parties, attorneys who will try the case, and insurance representatives with settlement authority are required to attend the settlement conference. If settlement is not reached through the conference, the case proceeds to trial as scheduled.

Cost

All parties must submit a \$200 generally non-refundable administrative fee to the Bar Association of San Francisco. Parties who meet certain eligibility requirements may request a fee waiver. For more information, please contact the ESP Coordinator at (415) 982-1600.

For further information about San Francisco Superior Court ADR programs or dispute resolution alternatives, please contact:

Superior Court Alternative Dispute Resolution Coordinator,
400 McAllister Street, Room 103
San Francisco, CA 94102
(415) 551-3876

or visit the Superior Court Website at
http://sfgov.org/site/courts_page.asp?id=3672

**SUPERIOR COURT OF CALIFORNIA
COUNTY OF SAN FRANCISCO**

400 McAllister Street, San Francisco, CA 94102-4514

v.
Plaintiff

Defendant

Case No. _____

**STIPULATION TO ALTERNATIVE
DISPUTE RESOLUTION**

The parties hereby stipulate that this action shall be submitted to the following alternative dispute resolution process:

- | | | |
|---|---|---|
| <input type="checkbox"/> Private Mediation | <input type="checkbox"/> Mediation Services of BASF | <input type="checkbox"/> Judicial Mediation |
| <input type="checkbox"/> Binding arbitration | | Judge _____ |
| <input type="checkbox"/> Non-binding judicial arbitration | | Judge _____ |
| <input type="checkbox"/> BASF Early Settlement Program | | |
| <input type="checkbox"/> Other ADR process (describe) _____ | | |

Plaintiff(s) and Defendant(s) further agree as follows:

_____ Name of Party Stipulating	_____ Name of Party or Attorney Executing Stipulation	_____ Signature of Party or Attorney
<input type="checkbox"/> Plaintiff <input type="checkbox"/> Defendant <input type="checkbox"/> Cross-defendant		Dated: _____

_____ Name of Party Stipulating	_____ Name of Party or Attorney Executing Stipulation	_____ Signature of Party or Attorney
<input type="checkbox"/> Plaintiff <input type="checkbox"/> Defendant <input type="checkbox"/> Cross-defendant		Dated: _____

_____ Name of Party Stipulating	_____ Name of Party or Attorney Executing Stipulation	_____ Signature of Party or Attorney
<input type="checkbox"/> Plaintiff <input type="checkbox"/> Defendant <input type="checkbox"/> Cross-defendant		Dated: _____

☐ Additional signature(s) attached

ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address): <div style="display: flex; justify-content: space-between;"> <div> TELEPHONE NO.: E-MAIL ADDRESS (Optional): ATTORNEY FOR (Name): </div> <div> FAX NO. (Optional): </div> </div>		CM-110 FOR COURT USE ONLY
SUPERIOR COURT OF CALIFORNIA, COUNTY OF STREET ADDRESS: MAILING ADDRESS: CITY AND ZIP CODE: BRANCH NAME:		
PLAINTIFF/PETITIONER: DEFENDANT/RESPONDENT:		
<div style="text-align: center;"> CASE MANAGEMENT STATEMENT </div> <div style="display: flex; justify-content: space-between;"> <div> (Check one): <input type="checkbox"/> UNLIMITED CASE (Amount demanded exceeds \$25,000) </div> <div> <input type="checkbox"/> LIMITED CASE (Amount demanded is \$25,000 or less) </div> </div>		
A CASE MANAGEMENT CONFERENCE is scheduled as follows: Date: _____ Time: _____ Dept.: _____ Div.: _____ Room: _____ Address of court (if different from the address above): _____		

INSTRUCTIONS: All applicable boxes must be checked, and the specified information must be provided.

1. **Party or parties (answer one):**
 - a. ☐ This statement is submitted by party (name):
 - b. ☐ This statement is submitted jointly by parties (names):
2. **Complaint and cross-complaint (to be answered by plaintiffs and cross-complainants only)**
 - a. The complaint was filed on (date):
 - b. ☐ The cross-complaint, if any, was filed on (date):
3. **Service (to be answered by plaintiffs and cross-complainants only)**
 - a. ☐ All parties named in the complaint and cross-complaint have been served, or have appeared, or have been dismissed.
 - b. ☐ The following parties named in the complaint or cross-complaint
 - (1) ☐ have not been served (specify names and explain why not):
 - (2) ☐ have been served but have not appeared and have not been dismissed (specify names):
 - (3) ☐ have had a default entered against them (specify names):
 - c. ☐ The following additional parties may be added (specify names, nature of involvement in case, and the date by which they may be served):
4. **Description of case**
 - a. Type of case in ☐ complaint ☐ cross-complaint (describe, including causes of action):

CM-110

PLAINTIFF/PETITIONER:	CASE NUMBER:
DEFENDANT/RESPONDENT:	

4. b. Provide a brief statement of the case, including any damages. (If personal injury damages are sought, specify the injury and damages claimed, including medical expenses to date [indicate source and amount], estimated future medical expenses, lost earnings to date, and estimated future lost earnings. If equitable relief is sought, describe the nature of the relief.)

☐ (If more space is needed, check this box and attach a page designated as Attachment 4b.)

5. **Jury or nonjury trial**

The party or parties request ☐ a jury trial ☐ a nonjury trial (if more than one party, provide the name of each party requesting a jury trial):

6. **Trial date**

- a. ☐ The trial has been set for (date):
 b. ☐ No trial date has been set. This case will be ready for trial within 12 months of the date of the filing of the complaint (if not, explain):

c. Dates on which parties or attorneys will not be available for trial (specify dates and explain reasons for unavailability):

7. **Estimated length of trial**

The party or parties estimate that the trial will take (check one):

- a. ☐ days (specify number):
 b. ☐ hours (short causes) (specify):

8. **Trial representation (to be answered for each party)**

The party or parties will be represented at trial ☐ by the attorney or party listed in the caption ☐ by the following:

- a. Attorney:
 b. Firm:
 c. Address:
 d. Telephone number:
 e. Fax number:
 f. E-mail address:
 g. Party represented:

☐ Additional representation is described in Attachment 8.

9. **Preference**

☐ This case is entitled to preference (specify code section):

10. **Alternative Dispute Resolution (ADR)**

- a. Counsel ☐ has ☐ has not provided the ADR information package identified in rule 3.221 to the client and has reviewed ADR options with the client.
 b. ☐ All parties have agreed to a form of ADR. ADR will be completed by (date):
 c. ☐ The case has gone to an ADR process (indicate status):

CM-110

PLAINTIFF/PETITIONER:	CASE NUMBER:
DEFENDANT/RESPONDENT:	

10. d. The party or parties are willing to participate in (check all that apply):

- (1) ☐ Mediation
 (2) ☐ Nonbinding judicial arbitration under Code of Civil Procedure section 1141.12 (discovery to close 15 days before arbitration under Cal. Rules of Court, rule 3.822)
 (3) ☐ Nonbinding judicial arbitration under Code of Civil Procedure section 1141.12 (discovery to remain open until 30 days before trial; order required under Cal. Rules of Court, rule 3.822)
 (4) ☐ Binding judicial arbitration
 (5) ☐ Binding private arbitration
 (6) ☐ Neutral case evaluation
 (7) ☐ Other (specify):

- e. ☐ This matter is subject to mandatory judicial arbitration because the amount in controversy does not exceed the statutory limit.
 f. ☐ Plaintiff elects to refer this case to judicial arbitration and agrees to limit recovery to the amount specified in Code of Civil Procedure section 1141.11.
 g. ☐ This case is exempt from judicial arbitration under rule 3.811 of the California Rules of Court (specify exemption):

11. Settlement conference

- ☐ The party or parties are willing to participate in an early settlement conference (specify when):

12. Insurance

- a. ☐ Insurance carrier, if any, for party filing this statement (name):
 b. Reservation of rights: ☐ Yes ☐ No
 c. ☐ Coverage issues will significantly affect resolution of this case (explain):

13. Jurisdiction

Indicate any matters that may affect the court's jurisdiction or processing of this case, and describe the status.

- ☐ Bankruptcy ☐ Other (specify):

Status:

14. Related cases, consolidation, and coordination

- a. ☐ There are companion, underlying, or related cases.

(1) Name of case:

(2) Name of court:

(3) Case number:

(4) Status:

☐ Additional cases are described in Attachment 14a.

- b. ☐ A motion to ☐ consolidate ☐ coordinate will be filed by (name party):

15. Bifurcation

- ☐ The party or parties intend to file a motion for an order bifurcating, severing, or coordinating the following issues or causes of action (specify moving party, type of motion, and reasons):

16. Other motions

- ☐ The party or parties expect to file the following motions before trial (specify moving party, type of motion, and issues):

CM-110

PLAINTIFF/PETITIONER:	CASE NUMBER:
DEFENDANT/RESPONDENT:	

17. Discovery

- a. ☐ The party or parties have completed all discovery.
- b. ☐ The following discovery will be completed by the date specified (*describe all anticipated discovery*):

<u>Party</u>	<u>Description</u>	<u>Date</u>
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- c. ☐ The following discovery issues are anticipated (*specify*):

18. Economic Litigation

- a. ☐ This is a limited civil case (i.e., the amount demanded is \$25,000 or less) and the economic litigation procedures in Code of Civil Procedure sections 90 through 98 will apply to this case.
- b. ☐ This is a limited civil case and a motion to withdraw the case from the economic litigation procedures or for additional discovery will be filed (*if checked, explain specifically why economic litigation procedures relating to discovery or trial should not apply to this case*):

19. Other issues

- ☐ The party or parties request that the following additional matters be considered or determined at the case management conference (*specify*):

20. Meet and confer

- a. ☐ The party or parties have met and conferred with all parties on all subjects required by rule 3.724 of the California Rules of Court (*if not, explain*):

- b. After meeting and conferring as required by rule 3.724 of the California Rules of Court, the parties agree on the following (*specify*):

21. Case management orders

Previous case management orders in this case are (*check one*): ☐ none ☐ attached as Attachment 21.

22. Total number of pages attached (*if any*): _____

I am completely familiar with this case and will be fully prepared to discuss the status of discovery and ADR, as well as other issues raised by this statement, and will possess the authority to enter into stipulations on these issues at the time of the case management conference, including the written authority of the party where required.

Date: _____

(TYPE OR PRINT NAME)

(SIGNATURE OF PARTY OR ATTORNEY)

(TYPE OR PRINT NAME)

(SIGNATURE OF PARTY OR ATTORNEY)

☐ Additional signatures are attached



Superior Court of California County of San Francisco

Judicial Mediation Program

Introducing a new court alternative dispute resolution program that provides judicial mediation of complex civil cases

The Judicial Mediation program offers mediation of complex civil litigation by a San Francisco Superior Court judge familiar with the area of the law that is the subject of the controversy. Cases that will be considered for participation in the program include, but are not limited to professional malpractice, construction, employment, insurance coverage disputes, mass torts and complex commercial litigation. Judicial mediation offers civil litigants the opportunity to engage in early mediation of a case shortly after filing the complaint in an effort to resolve the matter before substantial funds are expended. This program may also be utilized at anytime throughout the litigation process. The panel of judges currently participating in the program includes:

The Honorable David L. Ballati
The Honorable Anne Bouliane
The Honorable Ellen Chaitin
The Honorable John J. Conway
The Honorable Robert L. Dondero
The Honorable Ernest H. Goldsmith
The Honorable Curtis E. A. Karnow
The Honorable Patrick J. Mahoney

The Honorable Tomar Mason
The Honorable James J. McBride
The Honorable Kevin M. McCarthy
The Honorable John E. Munter
The Honorable Ronald Evans Quidachay
The Honorable A. James Robertson, II
The Honorable Mary E. Wiss

Parties interested in judicial mediation should file the Stipulation to Alternative Dispute Resolution form attached to this packet indicating a joint request for inclusion in the program and deliver a courtesy copy to Dept. 212. A preference for a specific judge may be indicated. The court Alternative Dispute Resolution Coordinator will facilitate assignment of cases that qualify for the program.

Note: Space is limited. Submission of a stipulation to judicial mediation does not guarantee inclusion in the program. You will receive written notification from the court as to the outcome of your application.

Superior Court Alternative Dispute Resolution
400 McAllister Street, Room 103, San Francisco, CA 94102
(415) 551-3876